

Federal Reserve Bank Financial Disclosure Report (Form A)

Board of Governors
of the Federal Reserve System
Washington, DC 20551

FR 08-100-03

Federal Reserve Bank policy requires the reporting and publishing of this information, which will be reviewed to determine compliance with applicable Federal Reserve policies and federal laws.

Click [Detailed Instructions](#) for additional reporting information.

Report Type: Separation

Year (Annual Report only): _____

Date of Appointment/Separation: 04/02/2024

FILER'S INFORMATION

O'Neill Kathleen Interim President and CEO St. Louis
Last Name First Name MI Position Reserve Bank

I certify the statements I have made in this report are true, complete and correct to the best of my knowledge.

Kathy O'Neill - NRAS User2 Digitally signed by Kathy O'Neill - NRAS User2
Date: 2024.04.23 11:56:45 -05'00' 04/23/2024
Filer Signature Date (MM/DD/YYYY)

RESERVE BANK ETHICS OFFICER'S OPINION

On the basis of information contained in this report and in consultation with the Board's Designated Agency Ethics Official, I conclude that the filer is in compliance with applicable ethics laws and policies (subject to any comments below).

Jane Anne Batjer  04/26/2024
Reviewing Official Name Date (MM/DD/YYYY)

BOARD DESIGNATED AGENCY ETHICS OFFICIAL'S OPINION

On the basis of information contained in this report and in consultation with the Reserve Bank's Ethics Officer, I conclude that the filer is in compliance with applicable ethics laws and policies (subject to any comments below).

Sean Croston SEAN CROSTON Digitally signed by SEAN CROSTON
Date: 2024.04.26 12:07:21 -04'00' 04/26/2024
Board Designated Agency Ethics Official Name Board Designated Agency Ethics Official Signature Date (MM/DD/YYYY)

COMMENTS OF REVIEWING OFFICIALS

Federal Reserve Bank Financial Disclosure Report (Form A)

PART 1. POSITIONS HELD OUTSIDE THE FEDERAL RESERVE SYSTEM

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report any positions that you held at any time during the reporting period (excluding positions within the Federal Reserve System). Reportable positions include those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any for-profit or non-profit organization (whether compensated or uncompensated).

Also report any position held by your spouse or domestic partner, child, parent or sibling with a domestic or foreign depository institution, its holding company (financial, bank or savings and loan) or a non bank affiliate; a company that owns a bank or savings and loan, a systemically important financial institution, a financial market utility, or primary government securities dealer; or any entity which, to your knowledge, does or seeks to do business with the Bank.

Select "None" if you do not have anything to report. Click [Part 1 Detailed Instructions](#) for additional reporting information and exceptions.

Filer's Name: Kathleen O'Neil

None (Nothing to report)

#	Organization Name	City/State	Organization Type	Position Held	Position Holder	From (MM/YYYY)	To (MM/YYYY)
1	Webster University	Webster Groves, MO	E	Trustee	Filer	08/2022	04/2024
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PART 2. FILER'S & SPOUSE'S OR DOMESTIC PARTNER'S EMPLOYMENT ASSETS & INCOME AND RETIREMENT ACCOUNTS

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report each source of your earned and other non-investment income over \$200 during the reporting period (e.g., salary, fees, partnership share and other business income, honoraria, scholarships, and prizes). For your spouse or domestic partner, report the source, exact value, and date of honoraria exceeding \$200, and report the source, but not the amount, of other earned or non-investment income exceeding \$1,000.

Report each asset related to your or your spouse's or domestic partner's business, employment, or other income-generating activities if the value of the asset at the end of the reporting period exceeded \$1,000 or if more than \$200 in income was received during the reporting period (e.g., equity in a business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, anticipated payments such as severance payments, deferred compensation, and intellectual property, such as book deals and patents).

Select "**None**" if you do not have anything to report. Click [Part 2 Detailed Instructions](#) for additional reporting information and exceptions.

Filer's Name: Kathleen O'Neill

None (Nothing to report)

#	Description	Owner	Excepted Investment Fund (EIF)	Value	Income Type	Income Amount
1	See Attachment					
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Federal Reserve Bank Financial Disclosure Report (Form A) Part 2 Attachment for Kathleen O'Neill – Separation Report 04/02/2024

#	Description	Owner	Excepted Investment Fund (EIF)	Value	Income Type	Income Amount
1	American Beacon AHL TargetRisk Y	Spouse/Domestic Partner	Y	\$50,001 - \$100,000		
2	American Beacon TwentyFour Strat Inc Y	Spouse/Domestic Partner	Y	\$15,001 - \$50,000		\$1,001 - \$2,500
3	BlackRock Strategic Income Opps Instl	Spouse/Domestic Partner	Y	\$15,001 - \$50,000		\$1,001 - \$2,500
4	BlackRock Systematic Multi-Strat Instl	Spouse/Domestic Partner	Y	\$15,001 - \$50,000		
5	Fidelity Government Cash Reserves	Spouse/Domestic Partner	Y	\$50,001 - \$100,000		\$2,501 - \$5,000
6	iShares Core MSCI EAFE ETF	Spouse/Domestic Partner	Y	\$50,001 - \$100,000		\$1,001 - \$2,500
7	iShares Core MSCI Emerging Markets ETF	Spouse/Domestic Partner	Y	\$15,001 - \$50,000		\$201 - \$1,000
8	iShares Russell 1000 Growth ETF	Spouse/Domestic Partner	Y	\$100,001 - \$250,000		\$201 - \$1,000
9	iShares Russell 1000 Value ETF	Spouse/Domestic Partner	Y	\$100,001 - \$250,000		\$1,001 - \$2,500
10	iShares Russell Mid-Cap ETF	Spouse/Domestic Partner	Y	\$50,001 - \$100,000		\$201 - \$1,000
11	JPMorgan Global Bond Opportunities I	Spouse/Domestic Partner	Y	\$1,001 - \$15,000		\$201 - \$1,000
12	JPMorgan Short Duration Core Plus ETF	Spouse/Domestic Partner	Y	\$1,001 - \$15,000		\$201 - \$1,000
13	Lord Abbett Floating Rate I	Spouse/Domestic Partner	Y	\$15,001 - \$50,000		\$1,001 - \$2,500
14	Navigator Tactical Fixed Income I	Spouse/Domestic Partner	Y	\$15,001 - \$50,000		\$1,001 - \$2,500
15	Northern Lights Fund Trust III - Return Stacked	Spouse/Domestic Partner	Y	\$15,001 - \$50,000		
16	Rational/ReSolve Adaptive Asset Allc I	Spouse/Domestic Partner	Y	\$50,001 - \$100,000		
17	Simplify US Equity PLUS Dwnsd Cnvxty ETF	Spouse/Domestic Partner	Y	\$15,001 - \$50,000		\$201 - \$1,000
18	SPDR® Portfolio S&P 600 Sm Cap ETF	Spouse/Domestic Partner	Y	\$50,001 - \$100,000		\$201 - \$1,000
19	BlackRock Systematic Multi-Strat Instl	Jointly Owned	Y	\$15,001 - \$50,000		
20	Fidelity Inv MM Money Market I	Jointly Owned	Y	\$250,001 - \$500,000		\$5,001 - \$15,000
21	iShares Core S&P 500 ETF	Jointly Owned	Y	\$250,001 - \$500,000		\$2,501 - \$5,000
22	iShares Global Tech ETF	Jointly Owned	Y	\$50,001 - \$100,000		\$201 - \$1,000
23	iShares Russell Mid-Cap ETF	Jointly Owned	Y	\$100,001 - \$250,000		\$1,001 - \$2,500
24	iShares Russell 1000 Growth ETF	Jointly Owned	Y	\$15,001 - \$50,000		\$201 - \$1,000
25	iShares MSCI EAFE Growth ETF	Jointly Owned	Y	\$100,001 - \$250,000		\$1,001 - \$2,500
26	iShares Core MSCI EAFE ETF	Jointly Owned	Y	\$50,001 - \$100,000		\$1,001 - \$2,500
27	iShares ESG Aware MSCI EM ETF	Jointly Owned	Y	\$50,001 - \$100,000		\$1,001 - \$2,500
28	iShares ESG Aware MSCI USA ETF	Jointly Owned	Y	\$100,001 - \$250,000		\$2,501 - \$5,000
29	MainStay MacKay Tax Free Bond I	Jointly Owned	Y	\$50,001 - \$100,000		\$2,501 - \$5,000
30	MainStay MacKay High Yield Muncpl Bd I	Jointly Owned	Y	\$100,001 - \$250,000		\$5,001 - \$15,000
31	SPDR® Portfolio S&P 600 Sm Cap ETF	Jointly Owned	Y	\$100,001 - \$250,000		\$1,001 - \$2,500
32	Thornburg Limited-Term Muni Inst	Jointly Owned	Y	\$50,001 - \$100,000		\$2,501 - \$5,000
33	Fidelity Government Cash Reserves	Jointly Owned	Y	None (or less than \$1,001)		\$1,001 - \$2,500
34	Fidelity Government Cash Reserves	Filer	Y	\$50,001 - \$100,000		\$2,501 - \$5,000
35	iShares MSCI Emerging Markets ETF	Filer	Y	\$1,001 - \$15,000		
36	iShares Core MSCI EAFE ETF	Filer	Y	\$15,001 - \$50,000		\$201 - \$1,000
37	iShares Russell Mid-Cap ETF	Filer	Y	\$1,001 - \$15,000		

Federal Reserve Bank Financial Disclosure Report (Form A) Part 2 Attachment for Kathleen O’Neill – Separation Report 04/02/2024

#	Description	Owner	Excepted Investment Fund (EIF)	Value	Income Type	Income Amount
38	SPDR® Portfolio S&P 600 Sm Cap ETF	Filer	Y	\$1,001 - \$15,000		\$201 - \$1,000
39	BlackRock Systematic Multi-Strat Instl	Filer	Y	\$1,001 - \$15,000		\$201 - \$1,000
40	Thornburg Limited-Term Muni Inst	Filer	Y	\$15,001 - \$50,000		\$201 - \$1,000
41	MainStay MacKay High Yield Muncpl Bd I	Filer	Y	\$15,001 - \$50,000		\$1,001 - \$2,500
42	Russell Inv Tax-Managed US Large Cap M	Filer	Y	\$250,001 - \$500,000		\$2,501 - \$5,000
43	Fidelity Government Cash Reserves	Filer	Y	\$1,001 - \$15,000		
44	iShares MSCI EAFE Growth ETF	Filer	Y	\$50,001 - \$100,000		
45	iShares ESG Aware MSCI EM ETF	Filer	Y	\$15,001 - \$50,000		
46	iShares ESG Aware MSCI USA ETF	Filer	Y	\$100,001 - \$250,000		\$201 - \$1,000
47	iShares Core MSCI EAFE ETF	Filer	Y	\$15,001 - \$50,000		
48	iShares Core S&P 500 ETF	Filer	Y	\$100,001 - \$250,000		\$201 - \$1,000
49	iShares Russell 1000 Growth ETF	Filer	Y	\$15,001 - \$50,000		
50	iShares Russell Mid-Cap ETF	Filer	Y	\$50,001 - \$100,000		
51	iShares Global Tech ETF	Filer	Y	\$15,001 - \$50,000		
52	SPDR® Portfolio S&P 600 Sm Cap ETF	Filer	Y	\$50,001 - \$100,000		
53	Fidelity Inv MM Money Market I	Filer	Y	\$1,001 - \$15,000		\$201 - \$1,000
54	Thornburg Limited-Term Muni Inst	Filer	Y	\$15,001 - \$50,000		\$201 - \$1,000
55	MainStay MacKay High Yield Muncpl Bd I	Filer	Y	\$50,001 - \$100,000		\$201 - \$1,000
56	MainStay MacKay Tax Free Bond I	Filer	Y	\$15,001 - \$50,000		\$201 - \$1,000

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PART 3. OTHER ASSETS AND INCOME

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report each asset held for investment or the production of income, not already reported in Part 2, that ended the reporting period with a value greater than \$1,000 or from which more than \$200 in income was received during the reporting period.

Select "**None**" if you do not have anything to report. Click [Part 3 Detailed Instructions](#) for additional reporting information and exceptions.

Filer's Name: Kathleen O'Neill

None (Nothing to report)

#	Description	Owner	Excepted Investment Fund (EIF)	Value	Income Type	Income Amount
1	None					
2						
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PART 4. FILER'S EMPLOYMENT AGREEMENTS AND ARRANGEMENTS

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report any agreements or arrangements that you had during the reporting period for the following: (1) continuing participation in an employee welfare or benefit plan maintained by a former employer; (2) leave of absence; (3) future employment; or (4) continuation of payments by a former employer (e.g., severance payments).

Select "None" if you do not have anything to report. Click [Part 4 Detailed Instructions](#) for additional reporting information and exceptions.

Filer's Name: Kathleen O'Neill

None (Nothing to report)

#	Employer or Party	City/State	Status and Terms	Start Date (MM/YYYY)
1	None			
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PART 5. TRANSACTIONS

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report any purchase, sale, or exchange of real property or securities in excess of \$1,000 that was made by you, your spouse or domestic partner, or your dependent child during the reporting period. This Part is not required for New Filer reports.

Select "**None**" if you do not have anything to report. Click [Part 5 Detailed Instructions](#) for additional reporting information and exceptions.

Filer's Name: Kathleen O'Neill

None (Nothing to report)

#	Description	Owner	Type	Date (MM/DD/YYYY)	Amount
1	None				
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PART 6. LIABILITIES

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report liabilities over \$10,000 that you, your spouse or domestic partner, or your dependent child owed at any time during the reporting period. Select "None" if you do not have anything to report. Click [Part 6 Detailed Instructions](#) for additional reporting information and exceptions.

Filer's Name: Kathleen O'Neill

None (Nothing to report)

#	Creditor Name	Debtor	Type	Amount	Year Incurred	Interest Rate	Term	More Favorable Terms?
1	None	Filer						
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Federal Reserve Bank Financial Disclosure Report (Form A)

PART 7. GIFTS

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report gifts totaling more than \$480 that you, your spouse or domestic partner, and your dependent children received from any one source during the reporting period. If more than one gift was received from a single source: (1) Determine the value of each item received from that source; (2) exclude each item valued at \$192 or less and (3) add the value of those items valued at more than \$192. If the total is more than \$480 then you must report each item valued at more than \$192. This Part is not required for New Filer reports.

Select "None" if you do not have anything to report. Click [Part 7 Detailed Instructions](#) for additional reporting information and exceptions.

Filer's Name: Kathleen O'Neill

None (Nothing to report)

#	Source Name	City/State	Brief Description	Value
1	None			
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Federal Reserve Bank Financial Disclosure Report (Form A)

PART 8. OTHER SITUATIONS

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Describe any other relationship or circumstance that you believe might constitute an actual or apparent conflict of interest. For example, if your father-in-law is the president of a company with which the Bank does business, you should report that in this section.

Select **"None"** if you do not have anything to report. Click [Part 8 Detailed Instructions](#) for additional reporting information.

Filer's Name: Kathleen O'Neill

None *(Nothing to report)*

Other Situations